

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

114
J1036 U.S. PTO
09/836286
04/18/01

In re application of

Hidetaka NAMBU

Appln. No.

Group Art Unit: Unknown

Confirmation No.: Unknown

Examiner: Unknown

Filed: April 18, 2001

For: SEMICONDUCTOR DEVICE AND MANUFACTURING METHOD OF THE DEVICE

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Japanese Laid-Open Patent Publication No. 09-55429, published February 25, 1997.
2. Japanese Laid-Open Patent Publication No. 11-274121, published October 8, 1999.
3. Japanese Laid-Open Patent Publication No. 2000-77409, published March 14, 2000.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a

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Information Disclosure Statement

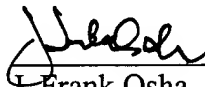
request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant states that the references are discussed within the specification beginning at page 2, lines 7-8.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

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